



3624

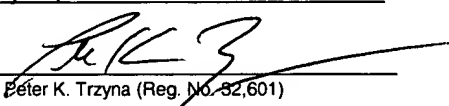
I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Status Request, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Newmrkt-P1-98

Date: July 21, 2003

Signed: 
Peter K. Trzyna (Reg. No. 32,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/197,908
Filed	:	November 23, 1998
For	:	DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED- RETURN SECURITIES
Group Art Unit	:	3624
Examiner	:	Akers, Geoffrey R.

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

RECEIVED

JUL 28 2003

GROUP 3600

TRANSMITTAL LETTER

S I R :

Please record the following enclosed documents in the above-identified patent application.

1. Status Request.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Trzyna', written over a horizontal line.

Peter K. Trzyna
(Reg. No. 32,601)

Date: July 21, 2003

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824



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GROUP 3600


STATUS REQUEST

S I R :

The undersigned attorney, and attorney of record for the above-captioned
application, respectfully requests that he be advised of the present status of the above-captioned
application.

The Commissioner is reminded that all correspondence in the above-referenced
matter should be sent to the address given below.

Respectfully submitted,


Peter K. Trzyna
(Reg. No. 32,601)

Date: July 21, 2003

P. O. Box 7131
Chicago, Illinois 60680-7131
(312) 240-0824